

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant:** André Lieber, et al.  
**Serial No.:** 09/980,564  
**Filed:** November 30, 2001  
**Docket:** 30429.2USWO  
**Title:** RECOMBINANT ADENOVIRAL VECTORS FOR CELL SPECIFIC INFECTION AND  
GENOME INTEGRATION AND EXPRESSING CHIMERIC FIBER PROTEINS

**CERTIFICATE UNDER 37 CFR 1.8**

I hereby certify that this paper or fee is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on November 22, 2002.

By:   
Name: Tracy Truick

55 South Lake Avenue, Suite 710  
Pasadena, California 91101  
November 22, 2002

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing Certificate under 37 CFR 1.8
- ☒ Information Disclosure Statement (37 C.F.R. §1.97(b)(3))
- ☒ Form 1449 (Information Disclosure Statement)
- ☒ Exhibits 139
- ☒ Return postcard

Please charge any additional fees or credit overpayment to Deposit Account No. 50-0306. A duplicate of this sheet is enclosed.

**MANDEL & ADRIANO**

55 South Lake Avenue, Suite 710  
Pasadena, California 91101  
(626) 395-7801

By: 

Name: Sarah B. Adriano  
Reg. No.: 34,470  
Customer No. 26,941

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**THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant:** André Lieber et al. **Examiner:** Not yet known  
**Serial No.:** 09/980,564 **Group Art Unit:** 1633  
**Filed:** November 30, 2001 **Docket No.:** 30429.2USWO  
**Title:** RECOMBINANT ADENOVIRAL VECTORS FOR CELL SPECIFIC  
INFECTION AND GENOME INTEGRATION AND EXPRESSING CHIMERIC  
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**CERTIFICATE UNDER 37 CFR 1.8:**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on November 22, 2002.

By: Tracy Truick

**INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b)(3))**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

This Supplemental Information Disclosure Statement is being filed herewith as a supplement to Applicant's April 24, 2002, Information Disclosure Statement which was submitted under 37 C.F.R. § 1.97 (b)(3) before the mailing of a first Office Action on the merits.

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. They are as follows:

- International Publication No. WO 00/70071 published November 23, 2000 – **Exhibit 139**

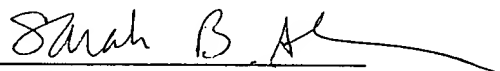
This statement should be considered because it is submitted before the mailing date of the first Office Action on the merits according to 37 C.F.R. § 1.97(b)(3). In accordance with 37 C.F.R. § 1.98(a)(2), copies of each document or other information listed on the enclosed Form 1449 are provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that the references have been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. § 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

No fee is deemed necessary in connection with the filing of this Information Disclosure Statement. However, if any additional fee is required, authorization is hereby given to charge the amount of any such fee, or credit any overpayment, to Deposit Account No. 50-0306.

Respectfully submitted,



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SaraLynn Mandel  
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FORM 1449\*

**INFORMATION DISCLOSURE STATEMENT  
IN AN APPLICATION**

(Use several sheets if necessary)

Docket Number

30429.2USWO

Application Number

09/980,564

Applicant

André Lieber et al.

Filing Date

November 30, 2001

Group Art Unit

1633

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 00/70071 (Exhibit 139)	11/23/00	PCT				X

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

\*Substitute Disclosure Statement Form (PTO-1449)

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE